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| **IALA COUNCIL**  **73rd session** |  | **Date**  **Town**  **Country** |

# Agenda Number – TITLE

***Agenda No. – Title***

# Update to IALA VTS Documentation on Adoption of Revised IMO Resolution on Vessel Traffic Services

# INTRODUCTION

# At Council meeting C70 in December 2019, Council approved [1] the addition of a new task to update Guideline G1089 - *Provision of VTS - INS, TOS & NAS* and also authorised a process of secretarial amendment, on adoption of the new resolution to documents that only require changes to incorporate the new terminology (*C70-11.4.3 (VTS47-13.2.2) Input to Council on IALA VTS documents post adoption of revised A.857(20)*. The first task was completed at VTS49 and Guideline 1089 - *Provision of a VTS* was approved by Council at C72 for issue on adoption of the new resolution by the IMO Assembly. The review of other documents which should be updated early after adoption has also now been completed.

# DISCUSSION

# Recognising that a proliferation of documents that are clearly out-of-date would reflect adversely on IALA and in approving the process of secretarial amendment, Council was invited to note that this would apply to documents that either required a simple transposition of terms or involved only minor textual changes to adopt the new terminology.

1. As work on this task progressed it became apparent that some textual changes, whilst only reflecting the agreed text of the new resolution, either as a direct quote or as a precis of the resolution’s text, might appear to be relatively extensive. It was also noted that in the case of some IALA Guidelines and Recommendations, cross-references to, and quotes from, other IALA documents had been superseded and it would be illogical to reissue revised documents reflecting the new resolution without including updates to any cross-references or quotes; amendments to incorporate these changes have, therefore, also been included.
2. Twenty-one such documents were identified and updated following review. In addition, three documents were identified for withdrawal. On the advice of the Secretary General, all of the documents for amendment and the three documents for withdrawal have been considered by the VTS Committee and are being referred to Council as a package. Even documents where the scale of the change is relatively minor are included to ensure transparency for all members of both the VTS Committee and Council. It should be noted that this package includes documents recently approved at C72 as these documents will also require further minor amendment to align with the new Resolution.
3. In summary, twenty-one documents have been amended to include simple transposition of terms, minor textual changes to adopt the new terminology and updates to any cross-references or quotes and three documents are recommended for withdrawal on adoption by the IMO Assembly of the new Resolution updating the Guidelines for Vessel Traffic Services.
4. The three documents recommended for withdrawal are:
5. **V-102** **– *The application of the User Pays principle to Vessel Traffic Services***. This document has not been updated since its issue in 1998, although it was renumbered to align with the new documentary structure in 2011 without any significant amendment following cursory review. Much of the content is highly questionable, irrelevant and, in some places, even misleading. The general principles are stated by IMO and not limited to VTS; there is no justification for IALA to duplicate such guidance. It is the view of VTS Committee members that it is not a document that provides any real value either to VTS Providers or Competent Authorities. In view of its limited relevance, it is recommended that the document be withdrawn.
6. **Guideline 1071 – *Establishment of a Vessel Traffic Service beyond Territorial Seas.*** This document is recommended for withdrawal on issue of the new Guideline G1089. The new resolution now addresses the concepts of VTS beyond Territorial Seas either with, or without, being in association with a routing or reporting system and the new Guideline G1089 - *Provision of A VTS* has a specific section on “VTS beyond Territorial Seas” that expands on, and explains how, the new Resolution should be interpreted. The two annexes are no longer required; the extensive examples at Annex A were only included to justify the IALA interpretation in the absence of any IMO statement at the time. There should be no requirement for IALA to provide guidance on the methodology for IMO submissions, which is currently noted at Annex B.
7. **The IALA Brochure – *What a shipmaster can expect of the VTS and what is expected of the shipmaster (Short title: VTS Awareness).*** The enclosure [2] to Council C70 identified this document as requiring a complete rewrite to align with the new recommendation. However, in view of the further guidance on VTS proposed by IALA to IMO and the probability that a brochure on the IALA website would have limited visibility to seafarers, it is considered that this document is of little value and should be permanently withdrawn.
8. In progressing this task, IALA Secretariat have requested that all documents that are amended should carry the new documentary prefixes of “R” for recommendations and “G” for guidelines. To meet the new IALA documentary structure, IALA Secretariat are in the process of conducting a general update of old style IALA documents, many of which are in the form of recommendations but contain content more appropriate to a guideline, separating these out into recommendations and guidelines as appropriate. All such documents under the lead of the VTS Committee have either already been amended or are in the process of being amended to align with the new structure as current tasks with the exception of one, Recommendation V-120.

1. All of the proposed changes/amendments are within the scope of the task to reflect the terminology of the new resolution or to update to cross-references and quotes. These updates will maintain the currency of each document, in that they will reflect the language of the new resolution, until they are scheduled for amendment in the normal course. This will prevent such updates otherwise taking unduly high precedence over other VTS Committee work to the detriment of the agreed committee work programme. These updates should, therefore, be regarded as “*interim amendments pending more thorough revision in the normal course*” and accorded only a new version suffix number rather than being recorded as new editions.
2. All of the documents that have been reviewed and updated are enclosed with the proposed changes shown in track change. Documents for amendment and withdrawal are also listed at Annex A with comment/brief summary as appropriate. This package of documents is submitted to Council for approval for issue or withdrawal as applicable, on adoption of the new IMO Resolution on Guidelines for Vessel Traffic Services. This will be concurrent with G1089 as already approved by Council for issue on adoption of the new IMO Resolution but will, itself, be subject to further minor amendment. All documents will require subsequent final secretarial review to include the reference number of the new IMO Resolution.

# REFERENCES

[1] C70-19.1 Report of the 70th Session of the IALA Council 10-13 December 2019 – section 11.4.3.

[2] C70-11.4.3.1 (VTS-13.2.2.1) Enclosure to Council Liaison Note - IALA Docs Post Adoption

# ACTION REQUESTED

#### The Council is requested to approve, for implementation on adoption of the new IMO Resolution – Guidelines for Vessel Traffic Services:

#### The proposed amendments to the package of documents listed at Tables A and B of Annex A, subject to inclusion of the new reference number for the resolution.

1. The withdrawal of Guideline 1071 – *Establishment of a Vessel Traffic Service beyond Territorial Seas.*
2. The withdrawal of Recommendation R0102 (V-102) – *The Application of the ‘User Pays’ Principle to Vessel Traffic Services.*
3. The withdrawal of IALA Brochure – *What a shipmaster can expect of the VTS and what is expected of the shipmaster*.

Annex A. Summary of documents reviewed.

# ENCLOSURES:

1. R0103 (V-103) Ed 3.1 – *Training and Certification of VTS personnel*
2. R0119 Ed 4.1 – *Establishment of a VTS*
3. R1012 Ed1.1 – *VTS Communications*
4. R1021 Ed1.1 – *Marine AtoN Awareness for Mariners*
5. G1027 Ed.1.2 – *Simulation in VTS Training*
6. G1045 Ed 1.2 – *Staffing Levels at VTS Centres*
7. G1083 Ed.1.1 – *Standard Nomenclature for a VTS*
8. G1089 Ed 2.0 *– Provision of a VTS*
9. G1101 Ed 1.1 – *Auditing and Assessing a VTS*
10. G1102 Ed1.1 – *VTS Interaction with Allied or Other Services*
11. (G1105 – *Shore-Side Portrayal Ensuring Harmonisation with E-Navigation Related Information\*)*
12. G1115 Ed 1.1 – *Preparing for an IMO Member State Audit Scheme (IMSAS) on a VTS*
13. G1118 Ed 1.1 – *Marine Casualty / Incident Reporting and Recording, Including Near-Miss Situations as it Relates to a VTS*
14. G1124 Ed 1.1 – *The use of PAWSA MKII*
15. G1131 Ed 1.1 – *Setting and measuring VTS objectives*
16. (G1132 Ed.1.1 – *VTS VHF Voice Communication\**)
17. G1142 Ed1.1 – *The provision of a Local Port Service other than a VTS*
18. G1144 Ed.1.1 – *Promulgating the Requirements of a VTS to Mariners*
19. G1150 Ed 2.1 – *Establishing Planning and Implementing a VTS*
20. G1156 Ed 1.1 – *Recruitment Training and Certification of VTS Personnel*
21. Brochure *– Guide for VTS Providers on Fatigue Awareness and Human Reliability*

\**Only required if not updated in the scheduled timeframe*

**Annex A**

**SUMMARY OF DOCUMENTS REVIEWED**

**Table A: Documents requiring only transposition of terms**

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| **Reference No** | **Title** | **Comment** |
| **Recommendations:** | |  |
| R1012 Ed 1.1 | VTS Communications |  |
| R1021 Ed1.1 | Marine AtoN Awareness for Mariners |  |
| **Guidelines:** | |  |
| G1089 Ed 2.0 | Provision of a VTS |  |
| G1124 Ed 1.1 – | The use of PAWSA MKII | This is the only document in the package that is not a VTS Committee lead. The amendments are very minor and have been agreed by the Chair of the ARM Committee (lead). |
| G1132 Ed 1.1 | VTS VHF Voice Communication | *(G1132 now expected to have phraseology incorporated. Only required if G1132 is not expected to be updated in the scheduled timeframe)* |
| G1144 Ed 1.1 | Promulgating the Requirements of a VTS to Mariners |  |

**Table B: Documents requiring textual changes and/or updates to cross-references or quotes**

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| **Reference No** | **Title** | **Summary of Update** |
| **Recommendations:** | | |
| R0103 (V-103) Ed3.1 | Training and Certification of VTS personnel | Update to terminology and change to quotes in section 3 |
| R0119 Ed 4.1 | Establishment of a VTS | Update to terminology and change to quotes in section 3 |
| **Guidelines:** | | |
| G1027 Ed 1.2 | Simulation in VTS Training | Update of terminology, update of cross-reference and deletion of associated quote, substitution of description of “Types of Service” with the new text relating to the purposes of a VTS. |
| G1045 Ed 1.2 | Staffing Levels at VTS Centres | Update of terms and definitions, alignment of quotes and text to new resolution (in particular removal of reference to Types of Service) |
| G1083 Ed 1.1 | Standard Nomenclature for a VTS | Update of terminology, amendment to title, Section 3.1 - substitution of description of “Types of Service” with the new text relating to the purposes of a VTS . |
| G1101 Ed 1.1 | Auditing and Assessing a VTS | Update of terminology and cross-references, alignment of responsibilities section to new Resolution. The table with an extensive list of cross-references removed; the list is covered in another IALA document and this list will otherwise quickly become out-of-date. |
| G1102 Ed 1.1 | VTS Interaction with Allied or Other Services | Update of terminology, update of cross-reference and deletion of one definition. |
| G1105 | Shore-Side Portrayal Ensuring Harmonisation with E-Navigation Related Information | Update of terminology and typographical corrections.  *(**Only required if G1105 is not expected to be updated in the scheduled timeframe)* |
| G1115 Ed 1.1 | Preparing for an IMO Member State Audit Scheme (IMSAS) on a VTS | Update of terminology and definitions, standardisation of quotes, removal of duplicated quote. |
| G1118 Ed 1.1 | Marine Casualty / Incident Reporting and Recording, Including Near-Miss Situations as it Relates to a VTS | Update of terminology and cross-references. |
| G1131 Ed 1.1 | Setting and measuring VTS objectives | Significant update of terminology and cross-references but within the scope of the task. Annex A - Amended to align with new resolution. Table presentation improved. |
| G1142 Ed 1.1 | The provision of a Local Port Service other than a VTS | Update of terminology and quotes and update title to align with the new resolution. |
| G1150 Ed 2.1 | Establishing Planning and Implementing a VTS | Update to terminology and significant change to quotes in section 4.2 |
| G1156 Ed 1. 1 | Recruitment Training and Certification of VTS Personnel | Update to terminology and significant change to quotes requiring a new section 4.2 |
| **Brochures:** | | |
| Ed 2.0 | Guide for VTS Providers on Fatigue Awareness and Human Reliability | Minor update to terminology. Current edition identifier added. |

**Table C: Documents proposed for withdrawal**

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| **Reference No** | **Title** | **Comment** |
| **Recommendations:** | |  |
| R0102 (V-102) | The Application of the ‘User Pays’ Principle to Vessel Traffic Services | See Sub-paragraph 6.a. |
| **Guidelines:** | |  |
| 1071 | Establishment of a Vessel Traffic Service beyond Territorial Seas | See Sub-paragraph 6.b. |
| IALA Brochure | What a shipmaster can expect of the VTS and what is expected of the shipmaster (Short title: VTS Awareness) | See Sub-paragraph 6.c. |